

REGISTRY OF ELECTION FINANCE

The Registry of Election Finance for the state of Tennessee met Monday, October 9, 1995, at 1614 Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Attending were: Jamye Coleman Williams, Chairperson, members Gail Vaughn Ashworth, Alan Hubbard, and Herman Reviere.

The minutes of the September 11 meeting were approved without objection.

Request for Personal Appearances:

95-70 - Robert Howell, for failure to timely file a 1995 mid-year lobbying activities report. Mr. Howell registered to lobby for Kids and Nurses, Inc. By the time, Mr. Howell filed his mid-year lobbying activities report, penalties of \$125 had accrued against him. He explained that his office had moved since his registration was filed, and he received notification to file the mid-year report late. Mr. Howell stated that he did file his report as soon as he received the notification. Motion by Reviere, seconded by Hubbard, the board voted unanimously to take no action.

95-65 - Patrick Parker, for failure to timely file a 1995 mid-year lobbying activities report. Mr. Parker registered to lobby for Tennessee Trial Lawyers Association. By the time, Mr. Parker filed his mid-year lobbying activities report, penalties of \$25 had accrued against him. He explained that he was hired as a contract lobbyist for a period of three months for the organization and did not return to the office until after the filing date had passed. Mr. Parker stated that he did file the report as soon as he became aware of the need to file a lobbying activities report. On motion by Reviere to take no action, motion did not receive a second. On motion by Ashworth, seconded by Hubbard to assess penalty of \$25, motion failed. No penalties were assessed.

Statements Submitted in Response to Show Cause Notices:

95-68 - William Whitson, for failure to timely file a 1995 mid-year lobbying activities report. Mr. Whitson submitted a statement for the board's consideration. By the time, Mr. Whitson filed his mid-year lobbying activities report, penalties of \$50 had accrued against him. Motion by Hubbard, seconded by Ashworth, the board voted unanimously by roll call vote to assess a \$50 civil penalty.

95-72 - Susan Gutow, for failure to timely file a 1995 mid-year lobbying activities report. Ms. Gutow submitted a statement for the board's consideration. By the time, Ms. Gutow filed her mid-year lobbying activities report, penalties of \$200 had accrued against her. Motion by Ashworth, seconded by Hubbard, to assess \$25 civil penalty, motion failed, no penalties were assessed.

Approval of Civil Penalty Orders:

95-63 - Shelby Co. Democratic Executive Committee, for late filing of second quarter campaign disclosure report. Class one (1), maximum civil penalty \$250. Motion by Ashworth, seconded by Reviere, the board voted unanimously by roll call vote to assess a \$250 civil penalty.

95-66 - Howard Baker, Jr., for failure to timely file 1995 mid-year lobbying activities report. Maximum civil penalty \$50. Motion by Ashworth, seconded by Hubbard, the board voted unanimously by roll call vote to assess a \$50 civil penalty.

95-64 - Rickey Peete, for failure to timely file 1995 mid-year lobbying activities report. Maximum civil penalty \$200. Motion by Ashworth, seconded by Reviere, the board voted unanimously by roll call vote to assess a \$200 civil penalty.

95-69 - William Nolan, for failure to timely file 1995 mid-year lobbying activities report. Maximum civil penalty \$50. Motion by Reviere, seconded by Ashworth, the board voted unanimously by roll call vote to assess a \$50 civil penalty.

95-71 - Yvonne Curtis, for failure to timely file 1995 mid-year lobbying activities report. Maximum civil penalty \$75. Motion by Ashworth, seconded by Hubbard, the board voted unanimously by roll call vote to assess a \$75 civil penalty.

95-67 - Harold Stockburger, for failure to timely file 1995 mid-year lobbying activities report. Maximum civil penalty \$50. Motion by Ashworth, seconded by Hubbard, the board voted unanimously by roll call vote to assess a \$500 civil penalty.

Issuance of Show Cause Notices:

Cocke County Democratic Party, for late filing of second quarter campaign disclosure report. Maximum class two (2) civil penalty \$10,000. Motion by Ashworth, seconded by Hubbard, the board voted to issue a show cause notice.

Calvin Moore, for failure to file 1995 mid-year lobbying activities report. Maximum civil penalty \$750. Motion by Ashworth, seconded by Reviere, the board voted to issue a show cause notice.

Bret Thompson, for failure to timely file 1995 mid-year lobbying activities report. Maximum civil penalty \$725. Motion by Ashworth, seconded by Reviere, the board voted to issue a show cause notice.

Oscar Iworah, for failure to file 1995 mid-year lobbying activities report. Maximum civil penalty \$750. Motion by Reviere, seconded by Hubbard, the board voted to issue a show cause notice.

Budget Report:

ŸŸ/

x $\ddot{y}y!$ u

ŸŸ

r ü

[illegible]

ŸŸ

$\ddot{y}\ddot{y}$
 $\ddot{y}\ddot{y}$

ŷŷp ŷŷ

ŸŸ

<

ŷŷó

[illegible]